

PROFESSIONAL SERVICES AGREEMENT
City of Glendale Traffic Control Improvements
Project No. 131404

This Professional Services Agreement ("Agreement") is entered into and effective between CITY OF GLENDALE, an Arizona municipal corporation ("City") and Y.S. Mantri & Associates, LLC, an Arizona limited liability company, authorized to do business in the State of Arizona, ("Consultant") as of the 10 day of September, 2013 ("Effective Date").

RECITALS

- A. City intends to undertake a project for the benefit of the public and with public funds that is more fully set forth in **Exhibit A**, Project (the "Project");
- B. City desires to retain the professional services of Consultant to perform certain specific duties and produce the specific work as set forth in the attached **Exhibit B**, Project Scope of Work ("Scope");
- C. Consultant desires to provide City with professional services ("Services") consistent with best consulting or architectural practices and the standards set forth in this Agreement, in order to complete the Project; and
- D. City and Consultant desire to memorialize their agreement with this document.

AGREEMENT

The parties hereby agree as follows:

1. Key Personnel; Other Consultants and Subcontractors.

- 1.1 Professional Services. Consultant will provide all Services necessary to assure the Project is completed timely and efficiently consistent within Project requirements, including, but not limited to, working in close interaction and interfacing with City and its designated employees, and working closely with others, including other consultants or contractors, retained by City.
- 1.2 Project Team.
 - a. Project Manager.
 - (1) Consultant will designate an employee as Project Manager with sufficient training, knowledge, and experience to, in the City's opinion, complete the project and handle all aspects of the Project such that the work produced by Consultant is consistent with applicable standards as detailed in this Agreement; and
 - (2) The City must approve the designated Project Manager.
 - b. Project Team.
 - (1) The Project Manager and all other employees assigned to the Project by Consultant will comprise the "Project Team."
 - (2) Project Manager will have responsibility for and will supervise all other employees assigned to the Project by Consultant.
 - c. Discharge, Reassign, Replacement.
 - (1) Consultant acknowledges the Project Team is comprised of the same persons and roles for each as may have been identified in **Exhibit A**.
 - (2) Consultant will not discharge, reassign, replace or diminish the responsibilities of any of the employees assigned to the Project who have been approved by City

without City's prior written consent unless that person leaves the employment of Consultant, in which event the substitute must first be approved in writing by City.

- (3) Consultant will change any of the members of the Project Team at the City's request if an employee's performance does not equal or exceed the level of competence that the City may reasonably expect of a person performing those duties, or if the acts or omissions of that person are detrimental to the development of the Project.

d. **Subcontractors.**

- (1) Consultant may engage specific technical contractors (each a "Subcontractor") to furnish certain service functions.
- (2) Consultant will remain fully responsible for Subcontractor's services.
- (3) Subcontractors must be approved by the City.
- (4) Consultant will certify by letter that all contracts with Subcontractors have been executed incorporating requirements and standards as set forth in this Agreement.

2. **Schedule.** The Services will be undertaken in a manner that ensures the Project is completed timely and efficiently in accordance with the Project.

3. **Consultant's Work.**

3.1 **Standard.** Consultant must perform Services in accordance with the standards of due diligence, care, and quality prevailing among consultants having substantial experience with the successful furnishing of Services for projects that are equivalent in size, scope, quality, and other criteria under the Project and identified in this Agreement.

3.2 **Licensing.** Consultant warrants that:

- a. Consultant and its Subconsultants or Subcontractors will hold all appropriate and required licenses, registrations and other approvals necessary for the lawful furnishing of Services ("Approvals"); and
- b. Neither Consultant nor any Subconsultant or Subcontractor has been debarred or otherwise legally excluded from contracting with any federal, state, or local governmental entity ("Debarment").
 - (1) City is under no obligation to ascertain or confirm the existence or issuance of any Approvals or Debarments, or to examine Consultant's contracting ability.
 - (2) Consultant must notify City immediately if any Approvals or Debarment changes during the Agreement's duration. The failure of the Consultant to notify City as required will constitute a material default under the Agreement.

3.3 **Compliance.** Services will be furnished in compliance with applicable federal, state, county and local statutes, rules, regulations, ordinances, building codes, life safety codes, and other standards and criteria designated by City.

3.4 **Coordination; Interaction.**

- a. For projects that the City believes requires the coordination of various professional services, Consultant will work in close consultation with City to proactively interact with any other professionals retained by City on the Project ("Coordinating Project Professionals").
- b. Consultant will meet to review the Project, Schedule and in-progress work with Coordinating Project Professionals and City as often and for durations as City reasonably considers necessary in order to ensure the timely work delivery and Project completion.

- c. For projects not involving Coordinating Project Professionals, Consultant will proactively interact with any other contractors when directed by City to obtain or disseminate timely information for the proper execution of the Project.

3.5 Work Product

- a. Ownership. Upon receipt of payment for Services furnished, Consultant grants to City, and will cause its Subconsultants or Subcontractors to grant to the City, the exclusive ownership of and all copyrights, if any, to evaluations, reports, drawings, specifications, project manuals, surveys, estimates, reviews, minutes, all "architectural work" as defined in the United States Copyright Act, 17 U.S.C § 101, *et seq.*, and other intellectual work product as may be applicable ("Work Product").
 - (1) This grant is effective whether the Work Product is on paper (e.g., a "hard copy"), in electronic format, or in some other form.
 - (2) Consultant warrants, and agrees to indemnify, hold harmless and defend City for, from and against any claim that any Work Product infringes on third-party proprietary interests.
- b. Delivery. Consultant will deliver to City copies of the preliminary and completed Work Product promptly as they are prepared.
- c. City Use.
 - (1) City may reuse the Work Product at its sole discretion.
 - (2) In the event the Work Product is used for another project without further consultations with Consultant, the City agrees to indemnify and hold Consultant harmless from any claim arising out of the Work Product.
 - (3) In such case, City will also remove any seal and title block from the Work Product.

4. **Compensation for the Project.**

- 4.1 Compensation. Consultant's compensation for the Project, including those furnished by its Subconsultants or Subcontractors will not exceed \$156,161.00 as specifically detailed in **Exhibit D** ("Compensation").
- 4.2 Change in Scope of Project. The Compensation may be equitably adjusted if the originally contemplated Scope as outlined in the Project is significantly modified.
 - a. Adjustments to Compensation require a written amendment to this Agreement and may require City Council approval.
 - b. Additional services which are outside the Scope of the Project contained in this Agreement may not be performed by the Consultant without prior written authorization from the City.
 - c. Notwithstanding the incorporation of the Exhibits to this Agreement by reference, should any conflict arise between the provisions of this Agreement and the provisions found in the Exhibits and accompanying attachments, the provisions of this Agreement shall take priority and govern the conduct of the parties.
- 4.3 Allowances. An "Allowance" may be identified in **Exhibit D** only for work that is required by the Scope and the value of which cannot reasonably be quantified at the time of this Agreement.
 - a. As stated in Sec. 4.1 above, the Compensation must incorporate all Allowance amounts identified in **Exhibit D** and any unused allowance at the completion of the Project will remain with City.
 - b. Consultant may not add any mark-up for work identified as an Allowance and which is to be performed by a Subconsultant.

- c. Consultant will not use any portion of an Allowance without prior written authorization from the City.
- d. Examples of Allowance items include, but are not limited to, subsurface pothole investigations, survey, geotechnical investigations, public participation, radio path studies and material testing.

4.4 Expenses. City will reimburse Consultant for certain out-of-pocket expenses necessarily incurred by Consultant in connection with this Agreement, without mark-up (the "Reimbursable Expenses"), including, but not limited to, document reproduction, materials for book preparation, postage, courier and overnight delivery costs incurred with Federal Express or similar carriers, travel and car mileage, subject to the following:

- a. Mileage, airfare, lodging and other travel expenses will be reimbursable only to the extent these would, if incurred, be reimbursed to City of Glendale personnel under its policies and procedures for business travel expense reimbursement made available to Consultant for review prior to the Agreement's execution, and which policies and procedures will be furnished to Consultant;
- b. The Reimbursable Expenses in this section are approved in advance by City in writing; and
- c. The total of all Reimbursable Expenses paid to Consultant in connection with this Agreement will not exceed the "not to exceed" amount identified for Reimbursable Services in the Compensation.

5. **Billings and Payment.**

5.1 Applications.

- a. Consultant will submit monthly invoices (each, a "Payment Application") to City's Project Manager and City will remit payments based upon the Payment Application as stated below.
- b. The period covered by each Payment Application will be one calendar month ending on the last day of the month.

5.2 Payment.

- a. After a full and complete Payment Application is received, City will process and remit payment within 30 days.
- b. Payment may be subject to or conditioned upon City's receipt of:
 - (1) Completed work generated by Consultant and its Subconsultants and Subcontractors; and
 - (2) Unconditional waivers and releases on final payment from all Subconsultants and Subcontractors as City may reasonably request to assure the Project will be free of claims arising from required performances under this Agreement.

5.3 Review and Withholding. City's Project Manager will timely review and certify Payment Applications.

- a. If the Payment Application is rejected, the Project Manager will issue a written listing of the items not approved for payment.
- b. City may withhold an amount sufficient to pay expenses that City reasonably expects to incur in correcting the deficiency or deficiencies rejected for payment.

6. **Termination.**

6.1 For Convenience. City may terminate this Agreement for convenience, without cause, by delivering a written termination notice stating the effective termination date, which may not be less than 15 days following the date of delivery.

- a. Consultant will be equitably compensated for Services furnished prior to receipt of the termination notice and for reasonable costs incurred.
- b. Consultant will also be similarly compensated for any approved effort expended, and approved costs incurred, that are directly associated with Project closeout and delivery of the required items to the City.

6.2 **For Cause.** City may terminate this Agreement for cause if Consultant fails to cure any breach of this Agreement within seven days after receipt of written notice specifying the breach.

- a. Consultant will not be entitled to further payment until after City has determined its damages. If City's damages resulting from the breach, as determined by City, are less than the equitable amount due but not paid Consultant for Services furnished, City will pay the amount due to Consultant, less City's damages, in accordance with the provision of Sec. 5.
- b. If City's direct damages exceed amounts otherwise due to Consultant, Consultant must pay the difference to City immediately upon demand; however, Consultant will not be subject to consequential damages more than \$1,000,000 or the amount of this Agreement, whichever is greater.

7. **Conflict.** Consultant acknowledges this Agreement is subject to A.R.S. § 38-511, which allows for cancellation of this Agreement in the event any person who is significantly involved in initiating, negotiating, securing, drafting, or creating the Agreement on City's behalf is also an employee, agent, or consultant of any other party to this Agreement.

8. **Insurance.**

8.1 **Requirements.** Consultant must obtain and maintain the following insurance ("Required Insurance"):

- a. Consultant and Subconsultants and Subcontractors. Consultant, and each Subconsultant or Subcontractor performing work or providing materials related to this Agreement must procure and maintain the insurance coverages described below (collectively referred to herein as the "Consultant's Policies"), until each Party's obligations under this Agreement are completed.
- b. **General Liability.**
 - (1) Consultant must at all times relevant hereto carry a commercial general liability policy with a combined single limit of at least \$1,000,000 per occurrence and \$2,000,000 annual aggregate limit.
 - (2) Subconsultants and Subcontractors must at all times relevant hereto carry a general commercial liability policy with a combined single limit of at least \$1,000,000 per occurrence.
 - (3) This commercial general liability insurance must include independent contractors' liability, contractual liability, broad form property coverage, XCU hazards if requested by the City, and a separation of insurance provision.
 - (4) These limits may be met through a combination of primary and excess liability coverage.
- c. **Professional Liability.** Consultant must maintain a professional errors and omissions liability policy providing a minimum limit of \$1,000,000 for each claim and a \$2,000,000 annual aggregate limit.
- d. **Auto.** A business auto policy providing a liability limit of at least \$1,000,000 per accident for Consultant and \$1,000,000 per accident for Subconsultants and Subcontractors and covering owned, non-owned and hired automobiles.

- e. Workers' Compensation and Employer's Liability. Consultant must also maintain a workers' compensation and employer's liability policy providing at least the minimum benefits required by Arizona law.
- f. Notice of Changes. Consultant's Policies must provide for not less than 30 days' advance written notice to City Representative of:
 - (1) Cancellation or termination of Consultant's Policies;
 - (2) Reduction of the coverage limits of any of Consultant's Policies; and
 - (3) Any other material modification of Consultant's Policies related to this Agreement.
- g. Certificates of Insurance.
 - (1) Within 10 business days after the execution of the Agreement, Consultant must deliver to City Representative certificates of insurance for each of Consultant's Policies, which will confirm the existence or issuance of Consultant's Policies in accordance with the provisions of this section, and copies of the endorsements of Consultant's Policies in accordance with the provisions of this section.
 - (2) City is and will be under no obligation either to ascertain or confirm the existence or issuance of Consultant's Policies, or to examine Consultant's Policies, or to inform Consultant, Subconsultant, or Subcontractor in the event that any coverage does not comply with the requirements of this section.
 - (3) Consultant's failure to secure and maintain Consultant's Policies and to assure Consultant's Policies as required will constitute a material default under the Agreement.
- h. Other Contractors or Vendors.
 - (1) Other contractors or vendors that may be contracted with in connection with the Project must procure and maintain insurance coverage as is appropriate to their particular contract.
 - (2) This insurance coverage must comply with the requirements set forth above for Consultant's Policies (e.g., the requirements pertaining to endorsements to name the parties as additional insured parties and certificates of insurance).
- i. Policies. Except with respect to workers' compensation and Consultant's professional liability coverages, City must be named and properly endorsed as additional insureds on all liability policies required by this section.
 - (1) The coverage extended to additional insureds must be primary and must not contribute with any insurance or self insurance policies or programs maintained by the additional insureds.
 - (2) All insurance policies obtained pursuant to this section must be with companies legally authorized to do business in the State of Arizona and reasonably acceptable to all parties.

8.2 Subconsultants and Subcontractors.

- a. Consultant must also cause its Subconsultants and Subcontractors to obtain and maintain the Required Insurance.
- b. City may consider waiving these insurance requirements for a specific Subconsultant or Subcontractor if City is satisfied the amounts required are not commercially available to the Subconsultant or Subcontractor and the insurance the Subconsultant or Subcontractor does have is appropriate for the Subconsultant or Subcontractor's work under this Agreement.

- c. Consultant and Subcontractors must provide to the City proof of the Required Insurance whenever requested.

8.3 Indemnification.

- a. To the fullest extent permitted by law, Consultant must defend, indemnify, and hold harmless City and its elected officials, officers, employees and agents (each, an "Indemnified Party," collectively, the "Indemnified Parties") for, from, and against any and all claims, demands, actions, damages, judgments, settlements, personal injury (including sickness, disease, death, and bodily harm), property damage (including loss of use), infringement, governmental action and all other losses and expenses, including attorneys' fees and litigation expenses (each, a "Demand or Expense" collectively "Demands or Expenses") asserted by a third-party (i.e. a person or entity other than City or Consultant) and that arises out of or results from the breach of this Agreement by the Consultant or the Consultant's negligent actions, errors or omissions (including any Subconsultant or Subcontractor or other person or firm employed by Consultant), whether sustained before or after completion of the Project.
- b. This indemnity and hold harmless provision applies even if a Demand or Expense is in part due to the Indemnified Party's negligence or breach of a responsibility under this Agreement, but in that event, Consultant will be liable only to the extent the Demand or Expense results from the negligence or breach of a responsibility of Consultant or of any person or entity for whom Consultant is responsible.
- c. Consultant is not required to indemnify any Indemnified Parties for, from, or against any Demand or Expense resulting from the Indemnified Party's sole negligence or other fault solely attributable to the Indemnified Party.

9. **Immigration Law Compliance.**

- 9.1 Consultant, and on behalf of any Subconsultant or Subcontractor, warrants, to the extent applicable under A.R.S. § 41-4401, compliance with all federal immigration laws and regulations that relate to their employees as well as compliance with A.R.S. § 23-214(A) which requires registration and participation with the E-Verify Program.
- 9.2 Any breach of warranty under this section is considered a material breach of this Agreement and is subject to penalties up to and including termination of this Agreement.
- 9.3 City retains the legal right to inspect the papers of any Consultant, Subconsultant, or Subcontractor employee who performs work under this Agreement to ensure that the Consultant, Subconsultant or any Subcontractor is compliant with the warranty under this section.
- 9.4 City may conduct random inspections, and upon request of City, Consultant will provide copies of papers and records of Consultant demonstrating continued compliance with the warranty under this section. Consultant agrees to keep papers and records available for inspection by the City during normal business hours and will cooperate with City in exercise of its statutory duties and not deny access to its business premises or applicable papers or records for the purposes of enforcement of this section.
- 9.5 Consultant agrees to incorporate into any subcontracts under this Agreement the same obligations imposed upon Consultant and expressly accrue those obligations directly to the benefit of the City. Consultant also agrees to require any Subconsultant or Subcontractor to incorporate into each of its own subcontracts under this Agreement the same obligations above and expressly accrue those obligations to the benefit of the City.
- 9.6 Consultant's warranty and obligations under this section to the City is continuing throughout the term of this Agreement or until such time as the City determines, in its sole discretion, that Arizona law has been modified in that compliance with this section is no longer a requirement.

9.7 The "E-Verify Program" above means the employment verification program administered by the United States Department of Homeland Security, the Social Security Administration, or any successor program.

10. **Prohibitions.** Consultant certifies under A.R.S. §§ 35-391 *et seq.* and 35-393 *et seq.*, that it does not have, and during the term of this Agreement will not have, "scrutinized" business operations, as defined in the preceding statutory sections, in the countries of Sudan or Iran.

11. **Notices.**

11.1 A notice, request or other communication that is required or permitted under this Agreement (each a "Notice") will be effective only if:

- a. The Notice is in writing; and
- b. Delivered in person or by overnight courier service (delivery charges prepaid), certified or registered mail (return receipt requested).
- c. Notice will be deemed to have been delivered to the person to whom it is addressed as of the date of receipt, if:
 - (1) Received on a business day before 5:00 p.m. at the address for Notices identified for the Party in this Agreement by U.S. Mail, hand delivery, or overnight courier service; or
 - (2) As of the next business day after receipt, if received after 5:00 p.m.
- d. The burden of proof of the place and time of delivery is upon the Party giving the Notice.
- e. Digitalized signatures and copies of signatures will have the same effect as original signatures.

11.2 **Representatives.**

- a. Consultant. Consultant's representative (the "Consultant's Representative") authorized to act on Consultant's behalf with respect to the Project, and his or her address for Notice delivery is:

Yogesh S. Mantri, P.E., PTOE
Joseph F. Spadafino, P.E., PTOE
124 W. Orion Street, Suite F-10
Tempe, AZ 85283

- b. City. City's representative ("City's Representative") authorized to act on City's behalf, and his or her address for Notice delivery is:

City of Glendale
c/o Bill Passmore
Principal Engineer
5850 W. Glendale Avenue, Suite 315 - Engineering
Glendale, Arizona 85301

With required copy to:

City Manager
City of Glendale
5850 West Glendale Avenue
Glendale, Arizona 85301

City Attorney
City of Glendale
5850 West Glendale Avenue
Glendale, Arizona 85301

- c. Concurrent Notices.

- (1) All notices to City's representative must be given concurrently to City Manager and City Attorney.

- (2) A notice will not be deemed to have been received by City's representative until the time that it has also been received by the City Manager and the City Attorney.
- (3) City may appoint one or more designees for the purpose of receiving notice by delivery of a written notice to Consultant identifying the designee(s) and their respective addresses for notices.
- d. Changes. Consultant or City may change its representative or information on Notice, by giving Notice of the change in accordance with this section at least ten days prior to the change.

12. **Financing Assignment.** City may assign this Agreement to any City-affiliated entity, including a non-profit corporation or other entity whose primary purpose is to own or manage the Project.

13. **Entire Agreement; Survival; Counterparts; Signatures.**

13.1 Integration. This Agreement contains, except as stated below, the entire agreement between City and Consultant and supersedes all prior conversations and negotiations between the parties regarding the Project or this Agreement.

- a. Neither Party has made any representations, warranties or agreements as to any matters concerning the Agreement's subject matter.
- b. Representations, statements, conditions, or warranties not contained in this Agreement will not be binding on the parties.
- c. Inconsistencies between the solicitation, any addenda attached to the solicitation, the response or any excerpts attached as **Exhibit A**, and this Agreement, will be resolved by the terms and conditions stated in this Agreement.

13.2 Interpretation.

- a. The parties fairly negotiated the Agreement's provisions to the extent they believed necessary and with the legal representation they deemed appropriate.
- b. The parties are of equal bargaining position and this Agreement must be construed equally between the parties without consideration of which of the parties may have drafted this Agreement.
- c. The Agreement will be interpreted in accordance with the laws of the State of Arizona.

13.3 Survival. Except as specifically provided otherwise in this Agreement, each warranty, representation, indemnification and hold harmless provision, insurance requirement, and every other right, remedy and responsibility of a Party, will survive completion of the Project, or the earlier termination of this Agreement.

13.4 Amendment. No amendment to this Agreement will be binding unless in writing and executed by the parties. Electronic signature blocks do not constitute execution for purposes of this Agreement. Any amendment may be subject to City Council approval.

13.5 Remedies. All rights and remedies provided in this Agreement are cumulative and the exercise of any one or more right or remedy will not affect any other rights or remedies under this Agreement or applicable law.

13.6 Severability. If any provision of this Agreement is voided or found unenforceable, that determination will not affect the validity of the other provisions, and the voided or unenforceable provision will be reformed to conform with applicable law.

13.7 Counterparts. This Agreement may be executed in counterparts, and all counterparts will together comprise one instrument.

14. **Term.** The term of this Agreement commences upon the Effective Date and continues for a 1.5 year initial period. The City may, at its option and with the approval of the Consultant, extend the term of this

Agreement an additional six (6) months. Consultant will be notified in writing by the City of its intent to extend the Agreement period at least 30 calendar days prior to the expiration of the original or any renewal Agreement period. Price adjustments are not anticipated; however, such adjustments will be a determining factor for renewal and will only be reviewed during the Agreement renewal period. There are no automatic renewals of this Agreement.

15. **Dispute Resolution.** Each claim, controversy and dispute (each a "Dispute") between Consultant and City will be resolved in accordance with **Exhibit E**. The final determination will be made by the City.
16. **Exhibits.** The following exhibits, with reference to the term in which they are first referenced, are incorporated by this reference.

Exhibit A	Project
Exhibit B	Scope of Work
Exhibit C	Schedule
Exhibit D	Compensation
Exhibit E	Dispute Resolution

(Signatures appear on the following page.)

The parties enter into this Agreement effective as of the date shown above.

City of Glendale,
an Arizona municipal corporation



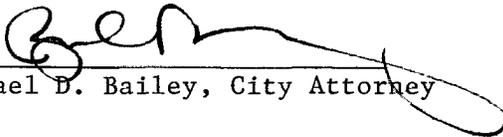
By: *Brenda S. Fischer*
Its: *City Manager*

ATTEST:



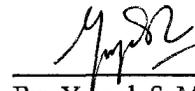
Pam Hanna, City Clerk (SEAL)

APPROVED AS TO FORM:



Michael D. Bailey, City Attorney

Y.S Mantri & Associates, LLC,
an Arizona limited liability company



By: *Yogesh S. Mantri*
Its: *President*

EXHIBIT A
Professional Services Agreement

PROJECT

(Cover Page)

EXHIBIT A
Professional Services Agreement
City of Glendale Traffic Control Improvements
Project No. 131404

PROJECT

DESCRIPTION OF PROJECT:

Through the utilization of a qualified Consultant, working with city staff, the City intends to develop and implement a design, construction documents, and construction administration for this project that meets the city's service needs and the budget for the project. The Consultant will develop a project cost that will include all costs for planning, design, construction, off-site improvements, etc., that will result in the final product. Upon City endorsement of the project design and construction plan, the Consultant will be directed to proceed with construction administration phase for the construction of the project.

The Project is described as preparing the Plans, Specifications, and Construction Cost Estimate (PS&E) necessary to install the following:

- 1) New intersection traffic control signal at 59th Avenue and Hayward Drive per City Transportation's preliminary design provided to Consultant, including signing and striping plans.
- 2) New mid-block pedestrian High Intensity Activated Crosswalk (HAWK) signal along Bethany Home Road between 60th and 61st Avenues per City Transportation's preliminary design provided to Consultant, including signing and striping plans.
- 3) Relocation of one signal cabinet from the northwest corner to the northeast corner at the intersection of 59th and Myrtle Avenues.
- 4) Installation of new conduit and replacement of existing pull boxes to complete the traffic signal infrastructure at the intersection of 58th and Glendale Avenue.
- 5) Installation of new conduit and replacement of existing pull boxes to complete the traffic signal infrastructure at the intersection of 57th and Glendale Avenue.

EXHIBIT B
Professional Services Agreement

SCOPE OF WORK

(Cover Page)

EXHIBIT B
Professional Services Agreement
City of Glendale Traffic Control Improvements
Project No. 131404

SCOPE OF WORK

The Project is described as preparing the Plans, Specifications, and Construction Cost Estimate (PS&E) necessary for the required permits and bid ready construction documents. The services listed in this scope of work may include, but are not limited to, the following:

A. General Project Administration Services:

General Project Administration Services generally include the following:

1. Coordinate with the City Project Team during the design of the project.
2. Attend project meetings as necessary to maintain the project budget and schedule; chairing periodic regular meetings and any additional meetings as requested by the City; setting agendas and preparing and distributing meeting minutes. Meetings are expected to include:
 - a. Meetings with City staff and others. Five (5) Project-specific meetings, including the following, are anticipated:
 - One (1) kick-off meeting,
 - One (1) concept plan discussion with the City about the 30% design,
 - One (1) 90% comment resolution meeting,
 - One (1) 100% comment resolution meeting.
 - One (1) utility coordination meeting with representatives of the City and the utility companies.
 - b. Two Meetings with city's Development Services and others required to obtain the appropriate permit(s).
 - c. The Consultant will prepare draft minutes of each meeting initiated by the Consultant and distribute to each attendee for review and comments. The Consultant will prepare final minutes of these meetings and distribute to each attendee within one (1) week of sending out the draft minutes.
3. Coordinate with private, public and City utilities (i.e., Information Technology Department, Water Services Department) regarding standard technology and utility issues and incorporating pertinent information in the plans.
4. Prepare and maintain a project schedule after meeting with the designated City Project Manager (referred to as "Project Manager") to determine appropriate submittal deadlines and to coordinate project submissions.
5. Assist the City in obtaining utility permits and approvals required for the Project. As the Project progresses, the Consultant shall in a timely manner furnish to the City copies of all communications between the Consultant and the respective ~~agency~~ or department and all City approvals and permits for the Project.

6. Provide a detailed construction phasing plan and associated cost estimates for the project and corresponding infrastructure.
7. Project Schedule: The Consultant shall prepare a significant event calendar within fourteen (14) days of the Notice to Proceed (NTP). The initial schedule should show the original start date with initial completion date as a reference. One copy of the original overall schedule with original time line and data dates shall be submitted at the project kick-off meeting. Additionally, minimum general time frames for project milestones shall be provided. The Consultant shall update the schedule monthly to keep it current showing comparison with the Baseline/Target schedule. The updated schedule report shall be submitted with the monthly invoice.
8. Invoices: The Consultant shall submit a projection of monthly project billings with the fee proposal. The projected billings will be consistent with the project tasks, the project schedule, and the fee proposal. Invoices will be delivered to the City's Project Manager no later than the 25th day of the month. The invoices will be consistent with the project tasks, project schedule, fee proposal, and projected billings. The invoice will identify the project number and include the amount of each work task and man-hour level of effort and consultant service identified in the approved fee proposal. The percent complete shall be determined by the project schedule, tasks, and fee proposal per tasks. The total invoice submitted shall be less than or equal to the task percent complete with the associated cost. The invoice will show the amounts previously billed, the amount due for the current period, the project balance and the percent complete per tasks.

The Consultant shall submit one hard copy invoice to the City Project Manager, City of Glendale Engineering Department, 5850 West Glendale Avenue, Glendale, Arizona 85301.

B. Engineering Survey, Pre-Design Activities, and Utility Coordination:

1. Engineering Survey. The Consultant shall conduct an engineering survey for the new traffic signal at the 59th/Hayward intersection and for the HAWK. The other three intersections will be also surveyed due to the lack as-built plans for existing signals. The consultant will capture the survey on all legs of the 59th/Hayward intersection and at the HAWK midblock location for up to 500 ft on each leg/corridor adjacent to the improvements. Survey at each of the other three intersections will begin at the center of intersection and extend beyond the two affected corners. The survey will capture all above ground topographic items such as edge of pavement, face of curb, sidewalk, pedestals, valves, poles, and visible utilities. The Consultant shall prepare base files from the survey.
2. Identify Optimal HAWK Location. The Consultant will review up to 48 hours of video tape made of pedestrians crossing Bethany Home Road in order to identify an optimal location for the proposed HAWK between 60th and 61st Ave. The process of identifying the optimal location will also consider the need for ADA-compliant ramps on each end of the HAWK and the right of way required. The Consultant will confirm this location with the City before beginning the design of the HAWK.
3. As-Built Research. The Consultant will gather available as-built information from the City for all five locations. This information will be used in the preparation of the base plans.

4. Site Visits. The Consultant will conduct a preliminary walk through of each site to review existing conditions, confirm the survey and as-built data, and identify the location of the new signal equipment. The Consultant will capture photos of each site and will prepare a photo log documenting existing conditions and challenges. The site visit will also be used to establish the current conduit layout for the intersection of 59th and Myrtle Avenues so that the Consultant can prepare a conduit schematic that identifies each conduit at the intersection for purposes of preparing the conductor schedule in the plans.
5. Utility Coordination. The Consultant will open Arizona Blue Stake tickets that lists the utilities in the general vicinity of each site, i.e. within 500 feet of all legs/corridors of each location. The Consultant will contact those utility companies identified by Arizona Blue Stake and request maps of their facilities. All important correspondence with the utility companies will be tracked in a log.
6. Power. The City will provide Point of Service by coordinating with the power company for the project sites at 59th Avenue and Hayward Drive, at 59th and Myrtle Avenues and the HAWK site. The Consultant will show the point of service on the plans and will design the signals accordingly.
7. Pot-Holing. The Consultant may be required to pot-hole to locate utilities. An allowance to pot-hole up to 15 locations is included in the budget.

C. Design Development Phase (30%):

1. During the Design Development Phase (30%), the Consultant will prepare preliminary designs based on the findings of the initial site visit, survey, and as-built plans for each site.
2. Prepare the design development documents. These documents will consist of preliminary plans, elevations, other drawings, a preliminary construction cost estimate, and specifications to fix and illustrate the size and character of the entire Project.
3. The Consultant shall gather available as-built information to obtain the best available right-of-way information possible. The Consultant will research Maricopa County Assessors maps and obtain available plats and parcel information of the subject locations. Right-of-way line work will be placed on the plans.
4. The utility maps obtained above will be used to place those facilities on the plans. Only the horizontal locations of the facilities will be placed on the plans. The plans will not show depths of the utilities. No utility relocations are expected; pole locations will be adjusted to avoid conflicts, if necessary. Conduit that must cross an existing utility will either be routed around it or under it per the requirements of the subject utility provider.
5. The plans will identify existing utilities, existing right of way, the location of all existing equipment and proposed equipment such as poles, pull boxes, conduit, etc. Conduit and pole identifiers will be placed on the plans, but their stationing and offset will be placed following the approval of their locations during the 90% plans.
6. The Consultant will meet with the City for an engineering design development discussion to obtain their concurrence on the preliminary designs of the new traffic signal equipment and crosswalk locations. The potential need for a bid alternative and the nature of that alternative will be discussed if the preliminary Project cost estimates are more than the current available construction budget.

7. The Consultant will not prepare a traffic control plan, but will include an estimated cost for traffic control in the cost estimate.
8. Design the Project so that the construction shall conform to City of Glendale standards and specifications and other applicable statutes and regulations.
9. After completion of the design development phase (30% plans), the Consultant shall deliver four half size sets of the drawings and a preliminary Project cost estimates. The Consultant shall present all documents to the City for review, comment, and approval.
10. The design development phase will be considered complete once the City has approved the submitted design drawings, agrees that the construction cost estimate is within the established Project budget, and agrees that the Consultant is meeting the submittal dates for the required city documents as identified in the Schematic Design Phase schedule.

D. Construction Document Phase (90%/100%/Final):

90% Design

1. After the City has accepted the design concepts and the preliminary construction cost estimate, the Consultant will advance the design from the 30% to 90% stage and prepare the construction documents based on the comments received and the final disposition of the 30% comment resolution meeting. The 90% documents shall include final, permit-ready construction drawings, plans, specifications, and estimates conforming to City standards. They will show all details, stations, offsets, completed pole and conductor schedules, and other items to complete the set. The Consultant will update the engineer's estimate and will prepare the project special provisions.
2. Prepare drawings using computer programs and formats as dictated by the City of Glendale Engineering Department at a scale of 1"=20'. The City will provide boiler plate specifications and construction contract that will be incorporated into the final specifications. The Consultant shall make no changes, deletions, or additions to these sections without approval by the City before inclusion in the final specifications. Final bid packages shall be prepared by the City of Glendale Engineering Department.
3. The Consultant will prepare the engineer's estimate to supplement the plans. During the preparation of construction documents, the Consultant shall inform the City in writing of any adjustments to previous construction cost estimates indicated by changes in scope, requirements, or market conditions.
4. Comply with all applicable federal, state, and local laws and codes in effect at the time the drawings, plans and specifications are approved by the City. The Consultant shall notify the City of any code changes that will impact this Project.
5. The Consultant shall deliver four (4) half-size (11" x 17") sets of the 90% drawings/plans and two (2) sets of specifications and the Project cost estimates. The Consultant shall present all documents to the City for review, comment, and approval.
6. The Consultant will compile, review, and propose a disposition for all received comments and attend a 90% comment resolution meeting within a week following the receipt of the comments. All comments will be addressed at the meeting. The Consultant will update the comment resolution sheet with finalized responses and will send to all meeting attendees as part of the meeting minutes.

7. The Consultant shall submit the 90% design plans to each utility company for a conflict review. The Consultant will follow up with all utilities that do not respond in a timely manner by both email and phone and will copy the City Project Manager on all correspondence. If there is still no response from the utilities, then the Consultant will inform the City Project Manager, who will decide if the City can provide assistance in contacting the utilities or if the matter should be considered closed. It is assumed that the utility clearance letters are not required for this project.

100% Design

1. The Consultant shall advance the design from the 90% to 100% Sealed and Signed stage after addressing any comments on the 90% plans.
2. The Consultant shall deliver eight (8) full-size (24" x 34") sets of the 100% drawings/plans to the City's Development Services Department, all required design calculations, including structural and electrical calculations, and two (2) sets of specifications and the Project cost estimates to the City Project Manager. The Consultant shall present all documents to the City for review, comment, and approval.
3. The Consultant will compile, review, and propose a disposition for all received comments. The City Project Manager will schedule a 100% comment resolution meeting within a week following the receipt of the comments, if determined to be necessary. All comments will be addressed at the comment resolution meeting, if scheduled. The Consultant will update the comment resolution sheet with finalized responses and will send to all meeting attendees as part of the meeting minutes.

Final Design

1. The Consultant shall advance the design from the 100% to Final Sealed and Signed stage after addressing any comments on the 100% plans.
2. The Consultant shall provide up to 10 full-size (22" x 34") plans as required by the City's Development Services Department for final plan approval, and all required design calculations, including structural and electrical calculations.
3. The Consultant shall submit to the City's Development Services Department two (2) full-size (22" x 34") approved and permit ready plan sets of construction drawings. These documents will be sealed and signed by the appropriate Arizona registered engineer.
4. The Consultant shall coordinate the building permit process and assist in filing the required documents to secure approval of all governmental authorities having jurisdiction over the design of the Project. The Consultant shall ensure that plans prepared by the utility companies have been incorporated into the final plan set.
5. The Consultant shall incorporate all corrections received from the reviewing entities into the final bid set of contract documents. If required, the Consultant shall resubmit the revised plans to the City's Development Services and Planning Departments for final approval.
6. Upon approval by the City of complete drawings, plans, and specifications, the Consultant shall deliver to the City the final reproducible drawings and specifications. These documents shall be sealed and signed by the appropriate responsible party. The Consultant will provide to the City Project Manager, two (2) CD-ROM's, with PDF copies of the full-size plans, half-size plans, the

engineer's final detailed Project estimate and all electronic files used to produce the plans. The CD_ROM shall also include the special provisions in Word format and the final plans in AutoCAD compatible format.

E. Bid Phase:

1. Attending and preparing meeting minutes for the pre-bid conference, any other related meetings, and preparing all necessary addenda related to documents originated by the Consultant.
2. Responding to questions regarding the plans and specifications. Consultant shall receive, review and make recommendations regarding requests for substitutions, and incorporate these substitution requests into the addenda as required.
3. The Bid Phase will be considered complete when the City has accepted the Project construction bid and final construction documents have been received on electronic media.
4. If requested by the City, the Consultant will evaluate the submitted bids and provide a recommendation letter.

F. Construction Administration Services: Upon acceptance of the contract award for the construction of this project, the Consultant shall provide construction administration services. The services shall include, but are not necessarily limited to, the following:

1. Preconstruction Conference: Conduct a preconstruction conference with the Contractor, the City and other interested parties prior to issuance of the Notice to Proceed. In addition to conducting the meeting, the Consultant will take minutes and issue them to all attendees. The Consultant will also use the minutes to respond to any technical questions that may be asked at the conference.
2. Quality Acceptance: The Consultant shall provide quality acceptance services to perform inspection and acceptance testing for all items of work required by the contract documents. The Consultant shall monitor construction for compliance with the project plans and specifications.
3. The Consultant shall provide an on-site representative to observe construction activities. The on-site representative shall be available for a minimum of 20 percent of the contract construction period. The on-site representative shall visit the project site during the course of critical construction activities, depending on the status of work per the Contractor's construction schedule.
4. The Consultant shall bring any deficiencies in the work or materials to the attention of the City and Contractor. Reports of these deficiencies shall be forwarded to the City Project Manager for review. The Consultant will resolve any construction-related problems, conflicts or discrepancies, and will recommend remedial actions, but shall take no action if additional costs are required without the prior approval of the City Project Manager.
5. The on-site representative shall be a full-time employee, shall have a minimum of five years' experience in the architectural, engineering and/or construction profession, and shall have had prior quality acceptance experience on a project of comparable size and scope. The on-site representative must be approved by the City.
6. The Consultant shall identify and coordinate with the Contractor all required acceptance material tests require by the City, project specifications and consistent with MAG and the UBC. The contractor shall schedule and coordinate all required tests and provide all necessary source

sampling and factory acceptance tests, results and inspection information to the Consultant for review and comment. It shall be the Consultant's responsibility to provide any additional control assurance tests necessary to verify Contractor's compliance with the project plans and specifications.

7. Construction Schedule Review: The Consultant shall review the construction schedule with particular emphasis on assuring that reasonable time allowances have been made for the work required. The Consultant shall observe construction progress and maintain and issue a monthly construction observation report.
8. All updated construction schedules must be reviewed and approved by the Consultant prior to issuance of monthly progress payments to the Contractor. The Consultant shall initiate any required correspondence necessary to assure the Contractor remains on schedule.
9. Schedule Review and Utility Coordination: The Consultant shall review the Contractor's schedule with particular emphasis on insuring that reasonable time allowances have been made for work required by the various utility companies, prior to approval. The Consultant will assist in the resolution of any utility conflicts discovered. The consultant shall initiate any required correspondence to insure that the Contractor remains on schedule.
10. Coordination of Submittal Reviews: The Consultant shall review the contract documents, prepare a list of all required submittals, and provide the schedule to the Contractor. The Consultant shall maintain a submittal log and coordinate all reviews and any necessary resubmittals.
11. Shop Drawing Review: The Consultant shall review and approve all shop drawings. The Consultant will advise the Contractor before the commencement of any work requiring a shop drawing or sample submission if the submission has not been accepted by Consultant.
12. Conduct Project Meetings: The Consultant shall conduct construction project meetings, prepare an agenda and minutes of the meeting, and distribute to all attendees.
13. Value Engineering: Review and make recommendations on any value engineering proposals, which the Contractor may submit during the project. No value engineering proposal shall be implemented without the prior approval of the City Engineer.
14. Payments: Review the Contractor's initial and updated schedule of estimated monthly payments and advise the City as to acceptability. Review the Contractor's monthly payment requests, and forward to the City for final approval and processing. The Consultant's review shall be for the purpose of making an independent opinion of work completed and mathematical check of the Contractor's payment request. Consultant is responsible for verifying the quantities of work which are the basis of the payment requests. The final monthly pay requests will be approved and processed by the City.
15. Requests for Information: Interpret construction contract documents and respond when requested by the City or Contractor.
16. Change Order Requests: The Consultant shall review and make recommendations on all change order requests from the Contractor. Provide documentation and administer the processing of change orders, including applications for extension of construction time. Evaluate the cost and scheduling aspects of all change orders and, where necessary, negotiate with the Contractor to obtain a fair price for the work. No change order shall be implemented without the prior approval of the City.
17. Materials Testing: Materials testing will be the responsibility of the Contractor. However, the Consultant shall evaluate and report on tests and test analyses for materials, including concrete,

pipe, soil, soil compaction, asphalt, and any other subjects that may be required by the specifications and good construction practices.

18. **Substantial Completion:** Upon substantial completion, inspect the construction work and prepare a punch-list of those items to be completed or corrected before final completion of the project. Submit results of the inspection to the City and the Contractor.
19. **Final Inspection and Payment:** The Consultant will maintain a running deficiency list during the course of the project and keep the Contractor informed as to its current status. The Consultant will conduct, with the assistance of the City, a final inspection and prepare a final punch list, including all items remaining on the deficiency list, as well as any additional items discovered during the final inspection. Subsequent inspections should be anticipated in order to insure completion of all identified deficient items.
20. **Project Closeout:** The Consultant will compile a list of required final submittals, including, but not necessarily limited to: record drawings, warranty and guarantee documents, lien waivers, product manuals, maintenance and operation manuals, and any spare parts and training required to be provided by the Contractor. The Consultant shall review the project closeout documents for final approval.
21. **As-Built Drawings:** The Consultant shall prepare record as-built drawings of the completed work based upon markups from the Contractor's record drawings and deliver the drawings to the City upon completion of the work. The Consultant will provide one set of record drawings inked on 24"x36", 3 mil. double matte Mylar. The final record drawing Mylars will be the property of the City and shall include the seal and signature of the engineer of record.
22. The Consultant shall submit the final record drawings on computer disk in the appropriate format of ACAD (version 2008 preferred).
23. **One-Year Warranty Inspection:** The Consultant will conduct, with the assistance of the City, a one-year warranty inspection. The Consultant will prepare a punch list of deficient items discovered during the one-year inspection. The Consultant should anticipate subsequent inspections in order to insure completion of any identified deficient items discovered during the one-year inspection.

EXHIBIT C
Professional Services Agreement

SCHEDULE

(Cover Page)

EXHIBIT C
Professional Services Agreement
City of Glendale Traffic Control Improvements
Project No. 131404

SCHEDULE

Notice to Proceed (NTP)

(Project intent defined, NTP to AZTEC, Blue Stake tickets opened, utility maps requested)

Submit Preliminary Project Schedule to City 2 weeks after NTP

(Survey conducted, design criteria defined, background data/info gathered—utilities, ROW, etc.)

(30% Design Concept Phase begins with receipt of survey)

Concept Plan Discussion 6 weeks after NTP

(QA/QC before submittal, document calcs, methods, standards, define impact on utilities)

Submit 30% Design Plans & Preliminary Construction Estimate 8 weeks after NTP

(Send to utilities for conflict review, 1 week for City review)

30% Comment Resolution Meeting (determine if bid alternative is needed) 10 weeks after NTP

(Order pot-holing, adjust for utility conflicts, begin drafting specials, finalize ROW, ID design exceptions, etc.)

Submit 90% PS&E..... 16 weeks after NTP

90% Comment Resolution Meeting..... 20 weeks after NTP

(Plan-in-hand review, address comments)

Submit 100% PS&E for review 24 weeks after NTP

100% Comment Resolution Meeting..... 26 weeks after NTP

(Address comments)

Submit Final Sealed & Signed PS&E for Permit 30 weeks after NTP

Major milestones for Exhibit C of contract are bolded.

EXHIBIT D
Professional Services Agreement
City of Glendale Traffic Control Improvements
Project No. 131404

COMPENSATION

METHOD AND AMOUNT OF COMPENSATION

Compensation shall be hourly rate plus allowable reimbursable expenses.

NOT-TO-EXCEED AMOUNT

The total amount of compensation paid to Y.S. Mantri & Associates, LLC for full completion of all work required by the Project during the entire term of the Project must not exceed \$156,161.00.

DETAILED PROJECT COMPENSATION

City of Glendale Traffic Control improvements Fee Schedule		
TASK	MAN-HOURS	COST
A. General Project Administration	84	\$ 8,270.00
B. Engineering Survey, Pre-Design Activities, and Utility Coordination	176.5	\$ 14,941.00
C. Design Development Phase (30%)	131	\$ 12,807.00
D. Construction Document Phase (90%/100%/Final)		
90% Design	300	\$ 30,380.00
100% Design	118	\$ 12,626.00
Final Design	38	\$ 3,966.00
E. Bid Phase	16	\$ 1,700.00
F. Construction Administration Services	313	\$ 31,605.00
Sub-Consultants		
AZTEC – Survey (5 sites)		\$ 10,932.00
AZTEC – Pothole Allowance (up to 15 potholes)		\$ 12,075.00
Reimbursable Expenses (Allowance)		\$ 1,859.00
Owner's Contingency		\$ 15,000.00
TOTAL PROJECT COST:		\$156,161.00

EXHIBIT E
Professional Services Agreement

DISPUTE RESOLUTION

1. Disputes.

- 1.1 **Commitment.** The parties commit to resolving all disputes promptly, equitably, and in a good-faith, cost-effective manner.
- 1.2 **Application.** The provisions of this Exhibit will be used by the parties to resolve all controversies, claims, or disputes ("Dispute") arising out of or related to this Agreement-including Disputes regarding any alleged breaches of this Agreement.
- 1.3 **Initiation.** A party may initiate a Dispute by delivery of written notice of the Dispute, including the specifics of the Dispute, to the Representative of the other party as required in this Agreement.
- 1.4 **Informal Resolution.** When a Dispute notice is given, the parties will designate a member of their senior management who will be authorized to expeditiously resolve the Dispute.
- a. The parties will provide each other with reasonable access during normal business hours to any and all non-privileged records, information and data pertaining to any Dispute in order to assist in resolving the Dispute as expeditiously and cost effectively as possible;
 - b. The parties' senior managers will meet within 10 business days to discuss and attempt to resolve the Dispute promptly, equitably, and in a good faith manner, and
 - c. The Senior Managers will agree to subsequent meetings if both parties agree that further meetings are necessary to reach a resolution of the Dispute.

2. Arbitration.

- 2.1 **Rules.** If the parties are unable to resolve the Dispute by negotiation within 30 days from the Dispute notice, and unless otherwise informal discussions are extended by the mutual agreement, the Dispute will be decided by binding arbitration in accordance with Construction Industry Rules of the AAA, as amended herein. Although the arbitration will be conducted in accordance with AAA Rules, it will not be administered by the AAA, but will be heard independently.
- a. The parties will exercise best efforts to select an arbitrator within five business days after agreement for arbitration. If the parties have not agreed upon an arbitrator within this period, the parties will submit the selection of the arbitrator to one of the principals of the mediation firm of Scott & Skelly, LLC, who will then select the arbitrator. The parties will equally share the fees and costs incurred in the selection of the arbitrator.
 - b. The arbitrator selected must be an attorney with at least 15 years' experience with commercial construction legal matters in Maricopa County, Arizona, be independent, impartial, and not have engaged in any business for or adverse to either Party for at least 10 years.
- 2.2 **Discovery.** The extent and the time set for discovery will be as determined by the arbitrator. Each Party must, however, within 10 days of selection of an arbitrator deliver to the other Party copies of all documents in the delivering party's possession that are relevant to the dispute.

- 2.3 Hearing. The arbitration hearing will be held within 90 days of the appointment of the arbitrator. The arbitration hearing, all proceedings, and all discovery will be conducted in Glendale, Arizona unless otherwise agreed by the parties or required as a result of witness location. Telephonic hearings and other reasonable arrangements may be used to minimize costs.
 - 2.4 Award. At the arbitration hearing, each Party will submit its position to the arbitrator, evidence to support that position, and the exact award sought in this matter with specificity. The arbitrator must select the award sought by one of the parties as the final judgment and may not independently alter or modify the awards sought by the parties, fashion any remedy, or make any equitable order. The arbitrator has no authority to consider or award punitive damages.
 - 2.5 Final Decision. The Arbitrator's decision should be rendered within 15 days after the arbitration hearing is concluded. This decision will be final and binding on the Parties.
 - 2.6 Costs. The prevailing party may enter the arbitration in any court having jurisdiction in order to convert it to a judgment. The non-prevailing party will pay all of the prevailing party's arbitration costs and expenses, including reasonable attorney's fees and costs.
3. **Services to Continue Pending Dispute.** Unless otherwise agreed to in writing, Consultant must continue to perform and maintain progress of required Services during any Dispute resolution or arbitration proceedings, and City will continue to make payment to Consultant in accordance with this Agreement.
 4. **Exceptions.**
 - 4.1 Third Party Claims. City and Consultant are not required to arbitrate any third-party claim, cross-claim, counter claim, or other claim or defense of a third party who is not obligated by contract to arbitrate disputes with City and Consultant.
 - 4.2 Liens. City or Consultant may commence and prosecute a civil action to contest a lien or stop notice, or enforce any lien or stop notice, but only to the extent the lien or stop notice the Party seeks to enforce is enforceable under Arizona Law, including, without limitation, an action under A.R.S. § 33-420, without the necessity of initiating or exhausting the procedures of this Exhibit.
 - 4.3 Governmental Actions. This Exhibit does not apply to, and must not be construed to require arbitration of, any claims, actions or other process filed or issued by City of Glendale Building Safety Department or any other agency of City acting in its governmental permitting or other regulatory capacity.